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1	.	27.10.2021–12.11.2021	
2		13.11.2021–25.11.2021	
3		26.11.2021–28.11.2021	
4		29.11.2021–05.12.2021	
5		06.12.2021–08.12.2021	
6		09.12.2021–12.12.2021	

27 2021 .

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ABSTRACT

Master's thesis: 71 pages, 19 figures, 2 tables, 1 appendices, 22 sources.

HEURISTIC ALGORITHM, ARTIFICIAL NEURAL NETWORK, IMAGE RECOGNITION.

The purpose of the qualification work is the analysis of heuristic methods of image processing using machine learning, in particular artificial neural networks. The test architecture of the neural network for handwritten digit recognition was developed and data for network training were selected. Experimental studies have shown that the implemented algorithm is competitive and can give acceptable results in the training of neural networks.

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1			10
1.1			10
1.2			15
1.2.1			15
1.2.2			18
1.3			24
1.3.1			24
1.3.2			27
2			32
2.1			32
2.2			35
2.3			37
2.3.1			38
2.3.2			42
2.3.3			43
2.4			46
3			48
3.1			50
3.2			52
3.2.1			53
3.2.2			54
3.2.3			55
4			57

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JPEG, MPEG,

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1.2

$x_1, x_2, \dots, x_n,$

$X,$

[1].

$w_2, \dots, w_n,$

$w_1,$

$W).$

– NET.

: $NET = XW.$

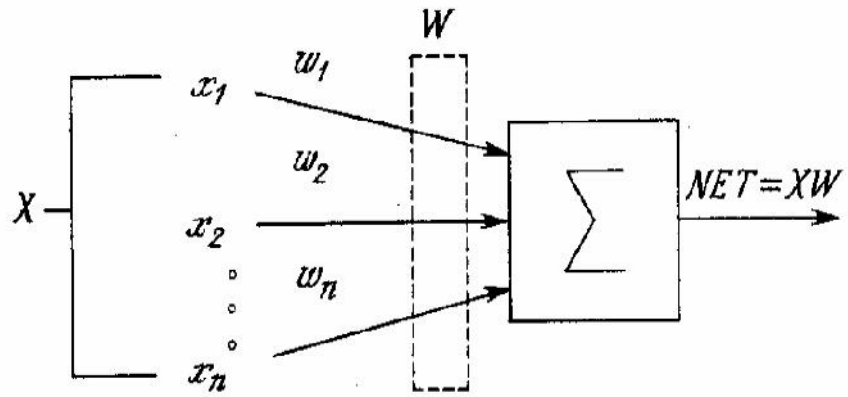
NET

F

OUT [2].

$$OUT = K(NET), \quad (1.1)$$

$$\begin{aligned} OUT &= 1, & NET > T, \\ OUT &= 0 \end{aligned}$$



1.2 -

1.3 , F NET
 OUT. F NET , -
 NET OUT ,
 F , « » « »
 « » (S-) ,
 .1.7. F(x) = 1/(1 + - x
). ,

$$OUT = \frac{1}{1 + e^{-NET}} \tag{1.2}$$

OUT NET, .
 ()
 , . ,

[3].

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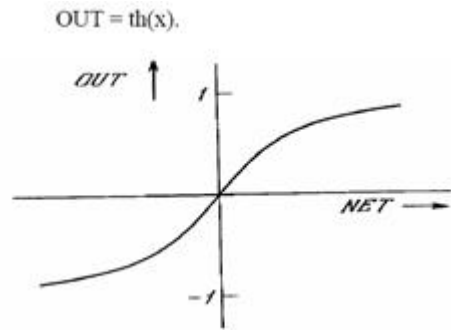
u1074

$$OUT = \frac{1}{1 + e^{-NET}} = F(NET)$$



1.3 -

:



1.4 –

S-

NET = 0

OUT

(

1.5).

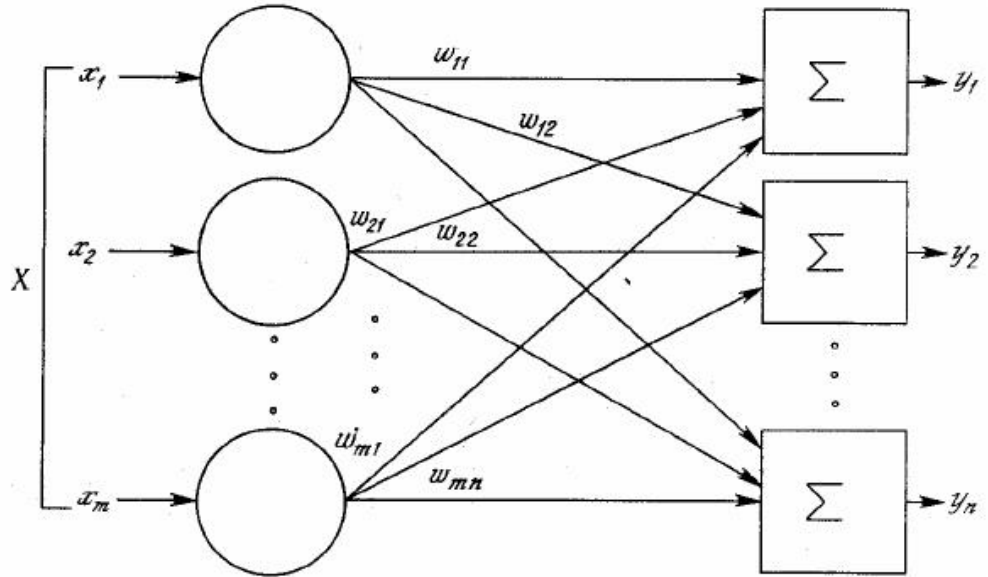
1.2

1.2.1

[4]

1.6.

[5].
 W . m
 n , m - , n -
 $w_{2,3}$ -
 N , N - OUT
 $N = XW$, N -



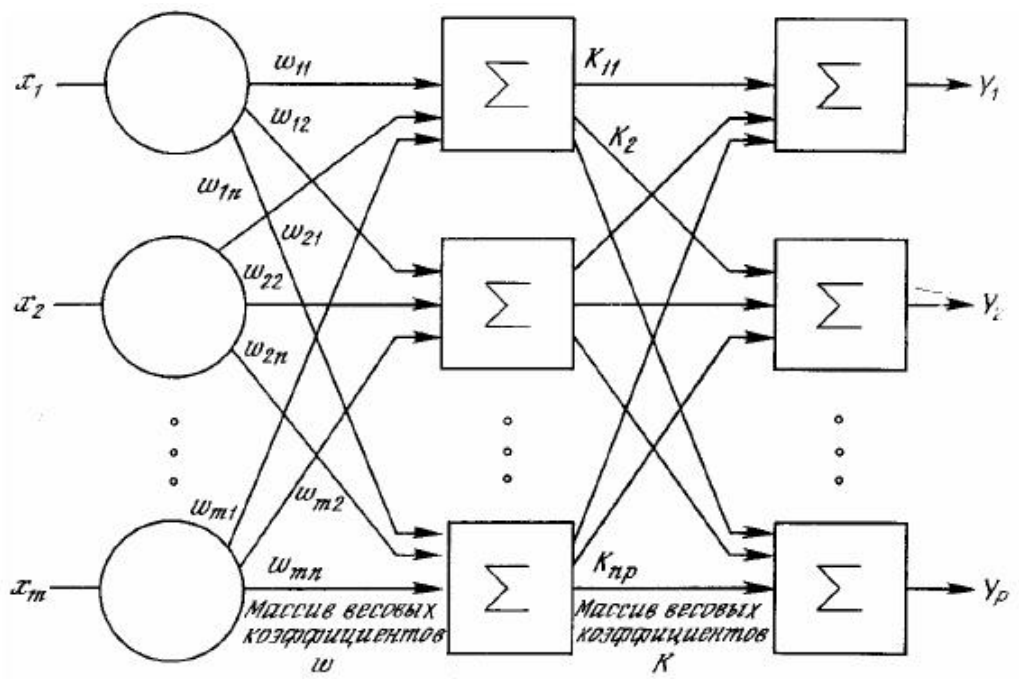
1.5 -

[5].

[6,7].

1.7

[8].



1.6 –

$X(W1W2)$.

[9].

[10],

$$E(w) = \frac{1}{2} \sum_{j,p} (y_{j,p}^{(N)} - d_{j,p})^2$$

$y_{j,p}^{(N)}$ — j N
 p ; $d_{j,p}$ — ()

$$\Delta w_{ij}^{(n)} = -\eta \cdot \frac{\partial E}{\partial w_{ij}} \tag{1.2}$$

w_{ij} — , , i -
 $n-1$ j - n , - ,

$0 < \eta < 1$.

$$\frac{\partial E}{\partial w_{ij}} = \frac{\partial E}{\partial y_j} \cdot \frac{dy_j}{ds_j} \cdot \frac{\partial s_j}{\partial w_{ij}} \tag{1.3}$$

y_j , j , s_j -
 dy_j/ds_j , ,

[11].

$$\frac{dy}{ds} = 1 - s^2 \quad (1.4)$$

$$y_i^{(n-1)} = \sum_k w_{kj} \cdot y_k^{(n-1)} \quad (1.3),$$

$$\frac{\partial \mathcal{E}}{\partial y_j} = \sum_k \frac{\partial \mathcal{E}}{\partial y_k} \cdot \frac{dy_k}{ds_k} \cdot \frac{\partial s_k}{\partial y_j} = \sum_k \frac{\partial \mathcal{E}}{\partial y_k} \cdot \frac{dy_k}{ds_k} \cdot w_{jk}^{(n+1)} \quad (1.5)$$

k

n+1.

$$\delta_j^{(n)} = \frac{\partial \mathcal{E}}{\partial y_j} \cdot \frac{dy_j}{ds_j} \quad (1.6)$$

 $\delta_j^{(n)}$

n

n+1.

$$\delta_j^{(n)} = \left[\sum_k \delta_k^{(n+1)} \cdot w_{jk}^{(n+1)} \right] \cdot \frac{dy_j}{ds_j} \quad (1.7)$$

$$\delta_i^{(N)} = (y_i^{(N)} - d_i) \cdot \frac{dy_i}{ds_i} \quad (1.8)$$

(1.2) :

$$\Delta w_{ij}^{(n)} = -\eta \cdot \delta_j^{(n)} \cdot y_i^{(n-1)} \tag{1.9}$$

[2]:

$$\Delta w_{ij}^{(n)}(t) = -\eta \cdot (\mu \cdot \Delta w_{ij}^{(n)}(t-1) + (1-\mu) \cdot \delta_j^{(n)} \cdot y_i^{(n-1)}) \tag{1.10}$$

μ - , t - .

[1]:

$$\delta_j^{(n)} = \sum_{i=0}^M y_i^{(n-1)} \cdot w_{ij}^{(n)} \tag{1.11}$$

M - $n-1$

+1, ; $y_i^{(n-1)} = x_{ij}^{(n)}$ - - j

n.

$$\delta^{(N)} \tag{1.8}$$

(1.9) (1.10) $\Delta w^{(N)}$ N.

(1.7) (1.10) $\delta^{(n)}$ $\Delta w^{(n)}$,

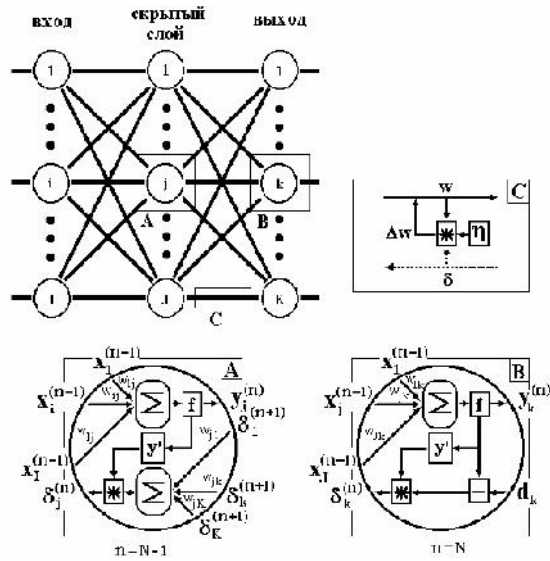
$n=N-1, \dots, 1$.

:

$$w_{ij}^{(n)}(t) = w_{ij}^{(n)}(t-1) + \Delta w_{ij}^{(n)}(t)$$

1, — .
1, [1], .

1.7.



1.7 –

(1.9)

$$y_i^{(n-1)}$$

[0,1]

0.5, +0.5],

$$f(x) = -0.5 + \frac{1}{1 + e^{-\alpha x}}$$

(1.9) (1.10)

, 0.1,

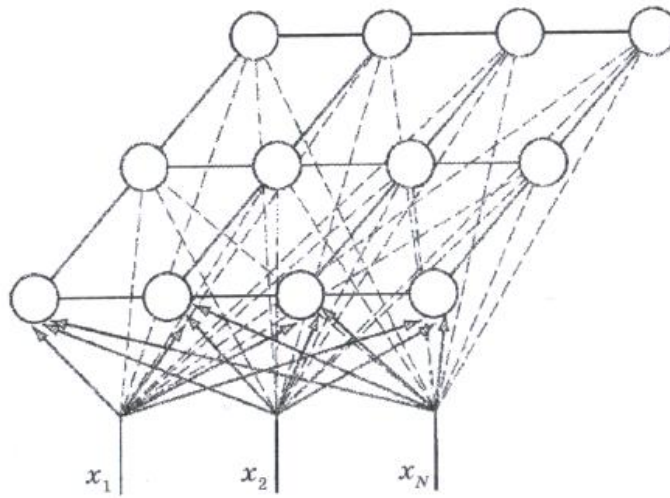
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[2].

[2].

[2],

[2] -



1.8 -

(Self Organizing Maps - SOM)

k- (c-means).

SOM , (,

...) () .

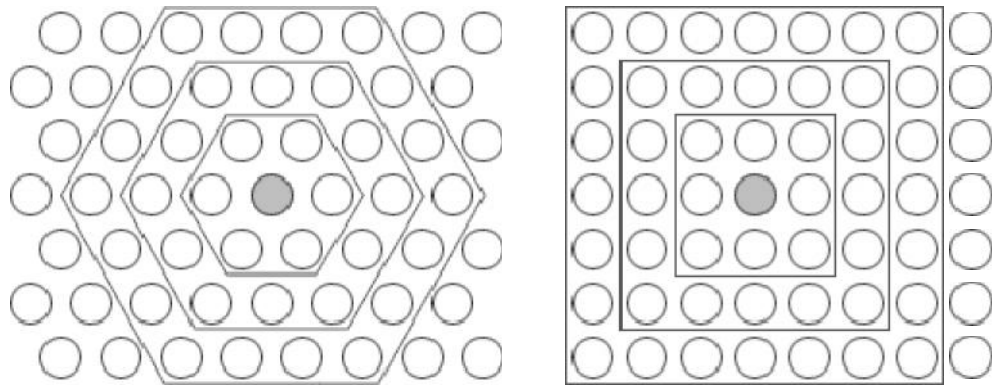
SOM

SOM

n- , n
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1.10



1.9 -

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 ,

$$c = \arg \max_i (x^T w_j). \quad (1.12)$$

$$\bar{x}_i = \frac{x_i}{\|x\|}, i = \overline{1, N}, \quad (1.13)$$

$$\|x\| = \left(\sum_{i=1}^N x_i^2 \right)^{1/2}. \quad (1.14)$$

$$\sum_i w_{ij} \quad (1.12)$$

$$c = \arg \max_i \|x - w_i\|. \quad (1.15)$$

w

x.

f_{ij}
 $(\ll \gg)$ $i-$ $j-$ r_i r_j
 w
 $f_{ij}(\ll \gg)$ $i-$ $j-$
 r_i r_j
 $:$

$$w_{ij}(k + 1) = w_{ij}(k) + \Gamma(k) f_{ij}(k)(x(k) - w_{ij}(k)) \quad , \quad (1.16)$$

$\Gamma(k) \in (0,1]$ $($
 $\Gamma = 1$ $,$
 $); f_{ij}(k)-$

$$f_{ij}(k) = f(\|r_i - r_j\|, k) = f(d, k) = f(d, \dagger) \quad , \quad (1.17)$$

r_i, r_j , i, j .

$$d = \|r_i - r_j\| \quad f_{ij}(k)$$

k

† , « »

$$f_{ij}(k)$$

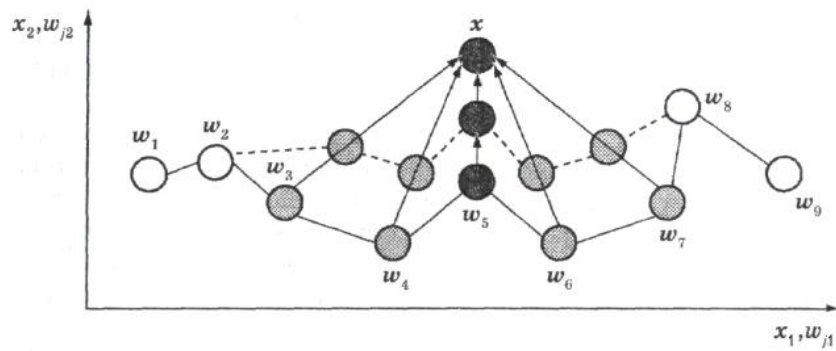
$$f_c(\|r_i - r_j\|) = f_c(0) = 1. \tag{1.18}$$

1.11

$$w_j = (w_{j1}, w_{j2})^T,$$

5, —
3, 4, 6, 7. 1, 2, 8, 9

« » ,



1.11 –

,
:

$$f_{ij}(d)$$

$r_0.$

« $P(x)$, $x,$ » ,
 () . (1.12)

(1.16)

N-

((1.16) [, b]).

" "

" "

YCH- (0,1) – (0 ... 2⁸ -1) – , (0...2²⁴ -1) –
 (8),
 (256).

(lossless compression)-
 (« »),

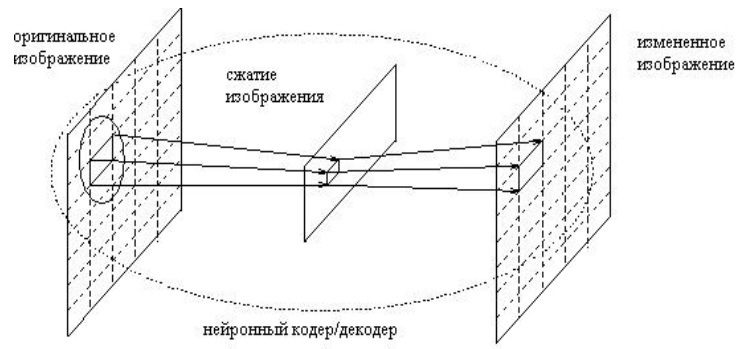
1,5-2).

JPEG, JPEG2000,

« »,

(6-10).

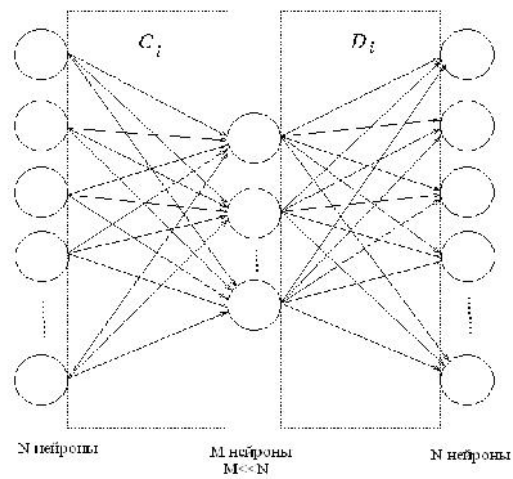
« ».



2.1 –

8
8-
[0,255]
[0,1],
0 1,

SNR,
[0,255],
SNR



2.2 –

SNR=23dB

30dB

16:1

2.3.

16:1

8-

512512.

2.3

JPEG, MPEG,

H.26x,



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2.3 –

(– , –

(SNR=27,28))

2.3.1

2.4.

44

1616

K -

88,

N-

$\{W_{ij}, j = 1, 2, \dots, K \quad i = 1, 2, \dots, N\}$,

K N.

$$\{W_{ij} : 1 \leq i \leq N, 1 \leq j \leq K\}$$

N K.

, $\{W_{ij}\}$,

N-

K-

(K < N)

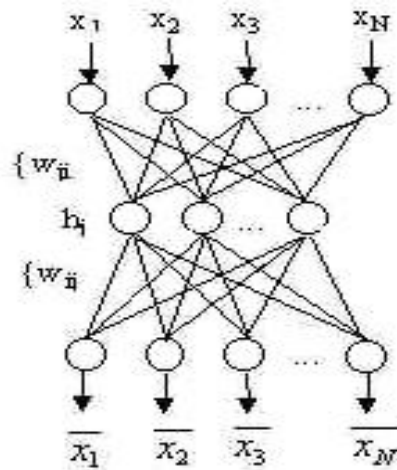
$$h_j = \sum_{i=1}^N w_{ij} x_i, \quad 1 \leq j \leq K, \tag{2.1}$$

$$\bar{x}_i = \sum_{j=1}^K w_{ij} h_j, \quad 1 \leq i \leq N, \quad (2.2)$$

$x_i \in [0, 1]$

$[0, 255]$.

$[0, 1]$.



2.4-

(1) (2)

$$[\mathbf{h}] = [\mathbf{W}]^T [\mathbf{x}], \quad (2.3)$$

$$\begin{bmatrix} \bar{x} \\ \bar{x} \end{bmatrix} = [\mathbf{W}'] [\mathbf{h}] = [\mathbf{W}'] [\mathbf{W}]^T [\mathbf{x}] \quad (2.4)$$

KL

(eigen)

x,

$$\lambda_i \geq \lambda_{i+1}, \quad i = 1, 2, \dots, n-1.$$

K,

K

c_x , , KL
 , $[A_K]$, eigen- c_x ,
 eigen- $[A_K]$, $[A_K]$ - eigen-
 , eigen- ,
 eigen- - eigen- ,
 eigen- . K-L
 :

$$[y] = [A_K]([x] - [m_x]), \tag{2.5}$$

KL :

$$\begin{bmatrix} \bar{x} \\ \bar{x} \end{bmatrix} = [A_K]^T [y] + [m_x], \tag{2.6}$$

$[m_x]$ - $[x]$ $[\bar{x}]$,
 .
 .
 $[x]$ $[\bar{x}]$:

$$e = E\left\{(x - \bar{x})^2\right\} = \frac{1}{M} \sum_{K=1}^M (x_K - \bar{x}_K)^2 = \sum_{j=1}^k \lambda_j - \sum_{j=1}^K \lambda_j = \sum_{j=K+1}^k \lambda_j, \tag{2.7}$$

$E\{.\}$

, , ,
 4 4 8 8 .

, eigen- K, ,
 eigen- ,

$$\lambda$$

$$(3-4) \quad (5-6),$$

$$[W'] [W]^T = [A_K]^T [A_K]. \quad (2.8)$$

$$[W'] = [A_K] [U]^{-1}; \quad [W]^T = [U] [A_K]^T, \quad (2.9)$$

$$[U] - \quad K \times K \quad [U] [U]^{-1}$$

$$K \times K. \quad , \quad ,$$

$$, \quad K-L \quad , \quad ,$$

$$, \quad [A_K]^T \quad [A_K].$$

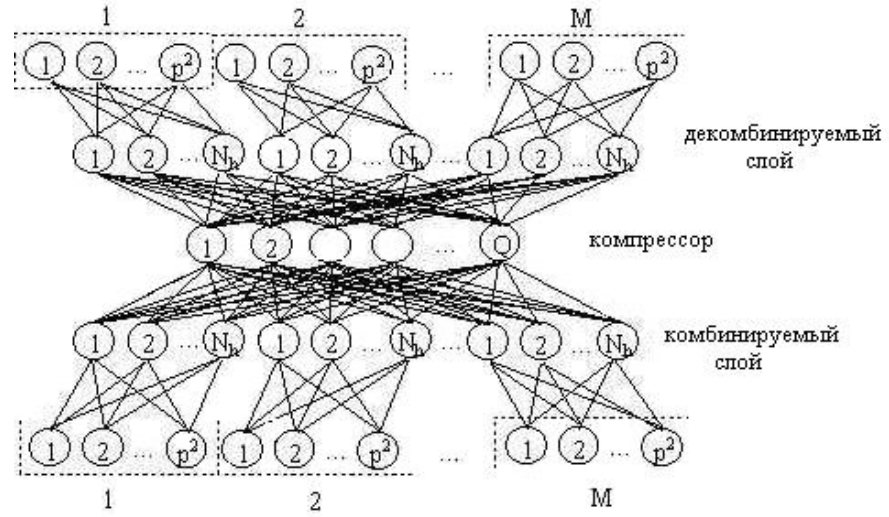
2.3.2

«combiner»,

«decombiner».

2.5.

М.



2.5 –

(ILNN) ; (OLNN) ;

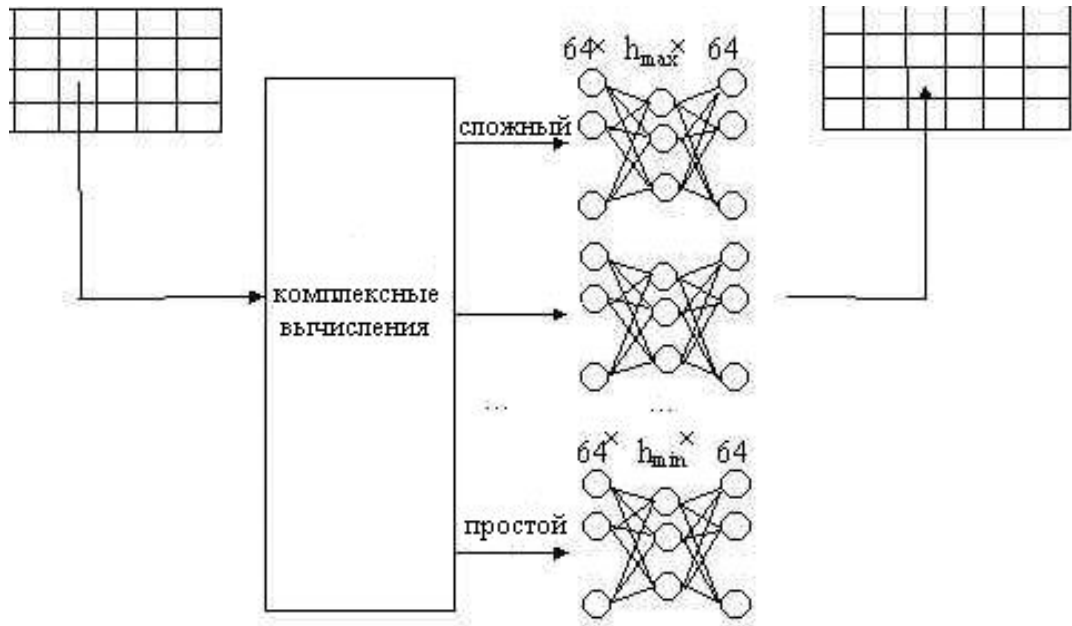
2.3.3

2.6 ,

(hmin, hmax).

: (a)

; (b) ; (c)



2.6 –

S/N (signal - to-noise),

h_{\min} ,

" "

S/N

S/N,
S/N,

(P1)

1-

$$A(P_1) = \sum_{i,j} A_p(P_1(i,j)), \tag{2.10}$$

$$A_p(P_1(i,j)) = \sum_{y=-1}^1 \sum_{s=-1}^1 (P_1(i,j) - P_1(i+r, j+s))^2, \tag{2.11}$$

$$A_p(P_1(i,j)) = \sum_{r=-1}^1 \sum_{s=-1}^1 (P_1(i,j) - P_1(i+r, j+s))^2, \tag{8}$$

2.4

, Hebba K-L
 , Hebba
 :
 , Hebba
 , Hebba
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 ,
 = $[w]^{T[x]}$,

$$W_i(t+1) = \frac{W(t) + h_i(t)X(t)}{\|W_i(t) + h_i(t)X(t)\|}, \tag{2.12}$$

$$\begin{aligned}
 \mathbf{W}_i(t+1) &= \{w_{i1}, w_{i2}, \dots, w_{iN}\} - \mathbf{I} \\
 (t+1); \quad 1 \leq i \leq M \quad M - & \quad ; \alpha - \quad ; \\
 h_i(t) - & \quad - \quad ; \mathbf{X}(t) - \quad , \\
 & \quad ; \|\dots\| - -
 \end{aligned}$$

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[15].

3.1

(. Particle Swarm Optimization, PSO) —

[2]

[4].

(. Swarm intelligence)

1989

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Boids

[8].

. NASA

. 1992

. Anthony Lewis and George A. Bekey

Dorigo Hewlett Packard 1990-

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Southwest

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3.2

p_i , (3.3).

3.2.2

‘ , L
 , ‘ .
 $l_i(t) = 0$ ($i = 1, \dots, S, t =$
 0). , x_i
 , :

$$l_i(t+1) = l_i(t) + 1 \tag{3.4}$$

$$: l_i(t+1) = 0.$$

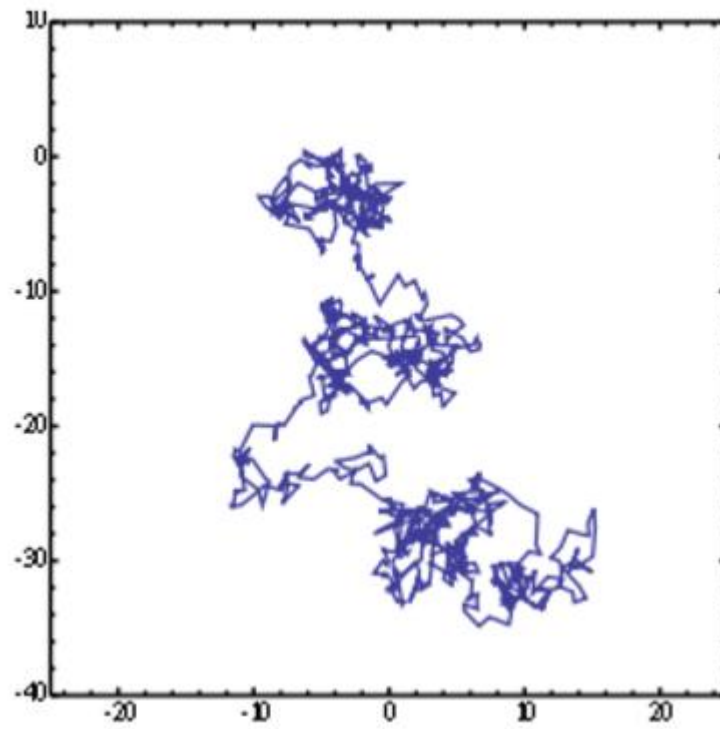
‘ , $l_i(t) = L,$
 x_i , x_{new} :

$$x_{new} = x_t + sE_t$$

Lévy.

[14].

s ,
 (‘).
 . S , ,
 , , , .



3.1 –

Lévy

3.2.3

$$ch_{i+1} = 4 * ch_i * (1 - ch_i), 1 \leq i \leq k \tag{3.5}$$

$ch_0 =$

$$x_{new} = (1 - \lambda) * g + \lambda * ch, \tag{3.6}$$

$$\lambda = \frac{maxcycle - t + 1}{maxcycle} \tag{3.7}$$

.1)

$$x_i \sim u(b_{lower}, b_{upper}),$$

$$b_{lower}, b_{upper} -$$

.2)

$$: p_i \quad x_i.$$

.3)

$$(f(p_i) < f(g)),$$

$$: g \quad p_i.$$

.4)

$$v_i \sim u(-(b_{upper} - b_{lower}), (b_{upper} - b_{lower}))$$

)

$$(\quad ,$$

),

$$i = 1, \dots, S:$$

.1)

4

4.1 –

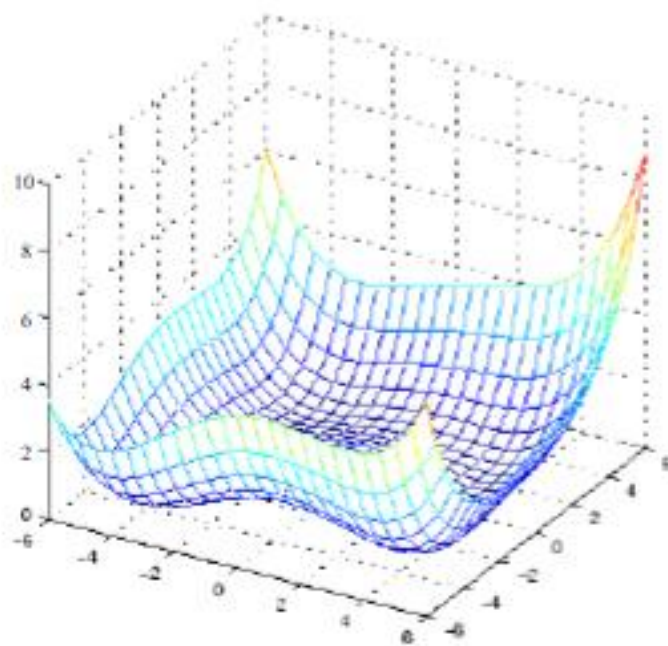
$f_1 = \sum_{i=1}^n x_i^2$	30	[-100; 100]	0
$f_2 = \sum_{i=1}^n ix_i^2$	30	[-10; 10]	0
$f_3 = \sum_{i=1}^{h-1} [100(x_{i+1} - x_i^2)^2 + (x_i - 1)^2]$	30	[-30; 30]	0
$f_4 = \sum_{i=1}^n (x_i^2 - 10 \cos(2\pi x_i) + 10)$	30	[-5.12; -5.12]	0
$f_5 = \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1$	30	[-600; 600]	0
$f_6 = \sum_{i=1}^n x_i + \prod_{i=1}^n x_i $	30	[-10; 10]	0
$f_7 = -20 \exp\left(-0.2 * \sqrt{\sum_{i=1}^n \frac{z_i^2}{n}}\right)$	30	[-32; 32]	0
$f_8 = \frac{1}{4000} \sum_{i=1}^n z_i^2 - \prod_{i=1}^n \cos\left(\frac{z_i}{\sqrt{i}}\right) + 1$	30	[-600; 600]	0
$f_9 = \sum_{i=1}^n z_i^2$	30	[-100; 100]	0
$f_{10} = \sum_{i=1}^n \left(\sum_{j=1}^i z_j\right)^2$	30	[-100; 100]	0

Core(TM) i5-3230M 2.60 GHz.

4.1.

4.2

(f7).



4.1 –

(Ackley's function)

:

n= 30,

S = 30,

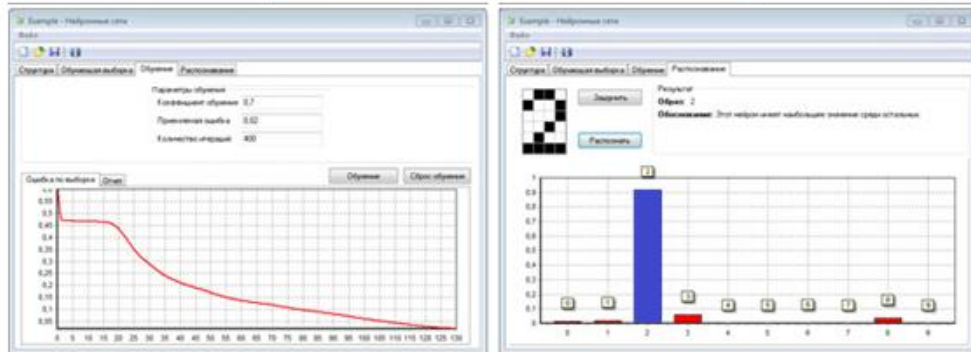
maxcycle = 2000.

$w_{\min} = 0.4, w_{\max} = 0.9, L = 5, r = 0.01.$

10

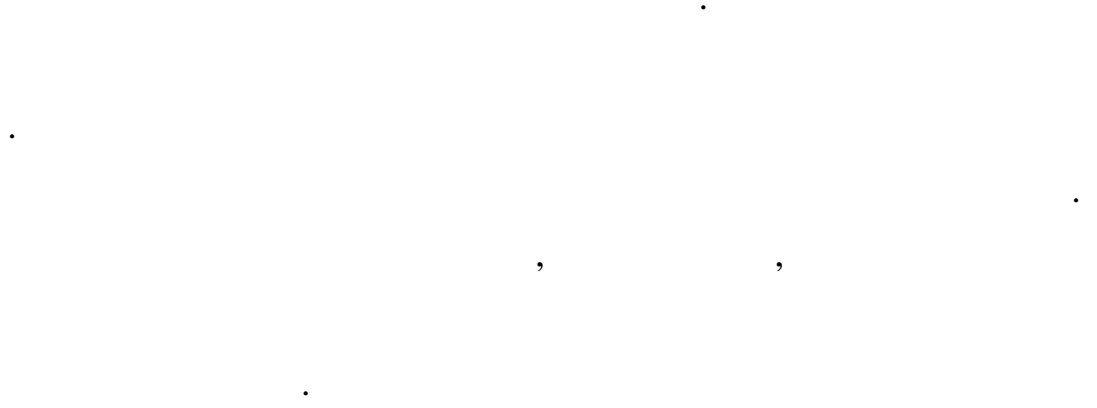
4.2 –

			SD	
f ₁		5.07e-03	1.25e-03	4.11e-03
		5.67e-15	7.34e-16	6.23e-16
f ₂		1.81e-01	4.32e-01	8.45e-02
		7.23e-13	9.28e-14	6.67e-16
f ₃		2.21e+01	3.24e-01	1.30e+01
		2.22e-05	4.93e-05	9.38e-13
f ₄		2.90e-01	0	2.90e-01
		0	0	0
f ₅		5.32e-10	3.24e-10	1.30e-10
		8.32e-15	8.23e-15	9.76e-16
f ₆		4.97e-04	4.65e-04	1.91e-04
		0	0	0
f ₇		2.03e+01	1.22e-02	2.02e+01
		3.29e-07	3.76e-10	1.75e-08
f ₈		1.05e+02	9.24e-02	1.04e+02
		3.68e-09	6.27e-09	6.00e-12
f ₉		2.32e-04	2.03e-05	2.30e-04
		6.30e-12	1.04e-11	1.29e-14
f ₁₀		7.42e-06	1.03e-06	6.23e-06
		1.43e-10	2.32e-10	4.65e-12



4.3 –

f_4 f_6



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